

# OSP FEUD

Special thanks to:

Carla Boudreau

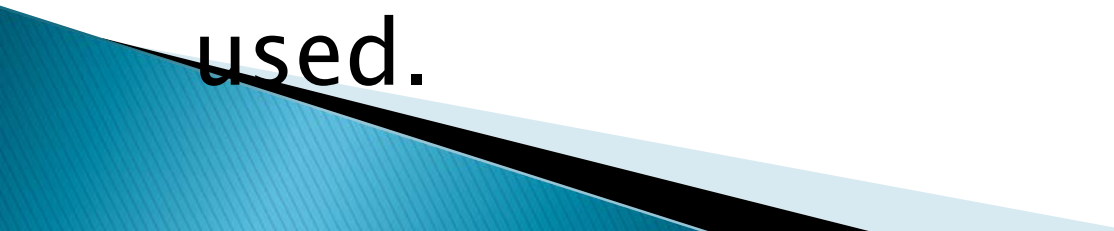
Barbara O'Brien

Lori St. Peter

# Carry-forward Question

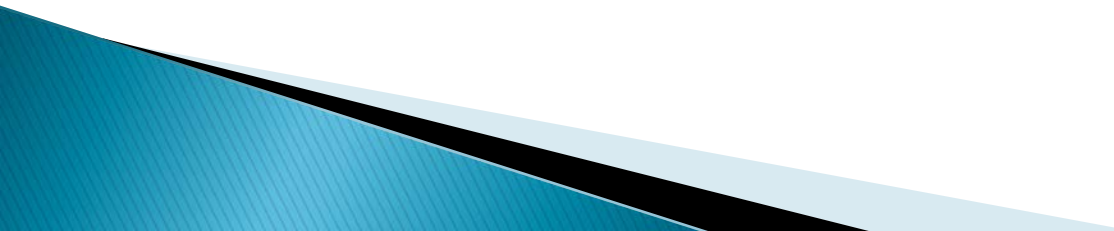
For awards that do not have automatic carry-forward, what information needs to be included in the carry-forward request?

# Carry-forward Request Answers

- ▶ PI's name
  - ▶ Award Number
  - ▶ Title
  - ▶ Budget period
  - ▶ Amount of funds remaining
  - ▶ Description of how funds will be used
  - ▶ Justification of why funds were not used.
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# Adding a Sub-Contract to an Existing Project

What is required by the sponsor when adding a new subcontract to an existing project?



## Answers to adding sub to existing project

- ▶ Biosketch for new PI
- ▶ Budget for new sub-award
- ▶ Budget justification for new sub-award
- ▶ Proposed revised budget for the project
- ▶ Justification for the addition of a sub-award
- ▶ Facilities and Resources page

# Pre-Award Costs

When are “Pre-Award costs” permitted?

# Answer for Pre-Award Costs

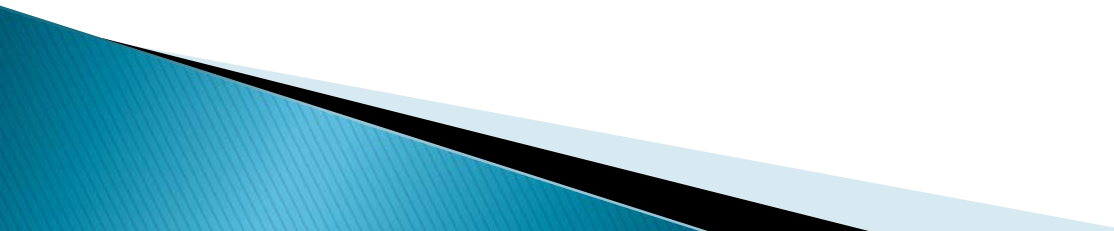
- ▶ Pre Award Costs are permitted when funding is needed prior to the issuance of the non-competing continuation award and all of the reporting requirements have been met.

# Advance Account

What is an advance account?



# Answer for Advance Account

- ▶ An advance account is an account that can be set up when the PI wants to start working on a new project but the contract/agreement is in the negotiation stage.
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# Sponsor Approval

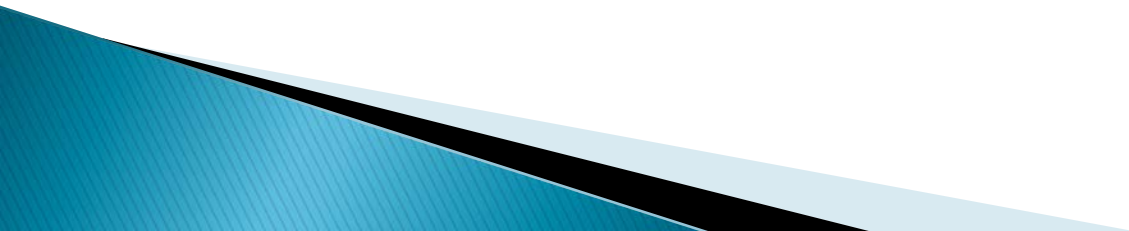
What are some examples of when sponsor approval is needed?

# Answers to Sponsor Approval

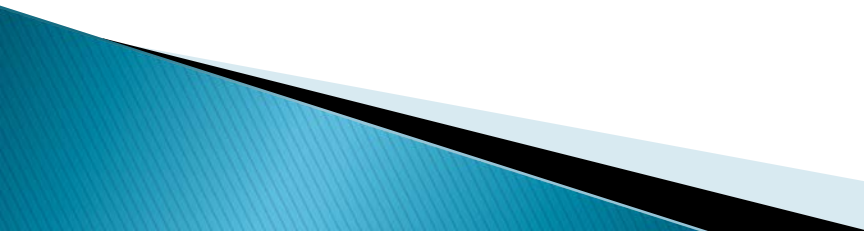
- ▶ \*No cost extensions (after initial NCE for federal sponsors)
- ▶ Re-budgeting that exceeds 25% of the total approved budget
- ▶ Changes in Scope of Work
- ▶ Absence or change in PI
- ▶ \*Cumulative transfers (within direct costs categories) exceed 10% of the sponsor's stated terms and conditions (for certain sponsors)

# Changes in Scope of Work


What constitutes a change in the scope of work?



# Answers for Changes in Scope of Work

- ▶ Change from approved involvement of human subjects that would result in an increased risk.
  - ▶ Change from the approved use of live vertebrate of animals.
  - ▶ Shift of the research emphasis from one disease to another.
  - ▶ Transfer of the performance of substantive programmatic work to a third party through a consortium agreement or any other means.
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# Answers for Changes in Scope of Work continued....

- ▶ Purchase of a unit of equipment exceeding \$25,000.
  - ▶ Significant rebudgeting, whether or not the particular expenditure(s) require prior approval.
  - ▶ Application of a new technology; e.g. changing assays from those approved to a different type of assay.
  - ▶ Change in PI.
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# Carry-forward

How many days are required when submitting a carry-forward request?

# Answers Carry-forward

Depends on the sponsor:


- ▶ Some sponsor require 30 days advance notice before the end of the award.
- ▶ For federal awards, carry-forward amounts need to be listed on the FFR.



# Change in PI

What would constitute a change in PI?

# Answers for Change in PI

- ▶ If a PI withdraws from the project entirely
  - ▶ Is absent from the project during any continuous period of three (3) months or more
  - ▶ Reduces time devoted to the project by 25% or more from the level that was approved at the time of award
  - ▶ If original PI leaves institution and does not transfer the award with them.
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**THE END**

