



Office for Research Integrity and Compliance

EXPORT CONTROLS

Roles and Responsibilities

While the Office for Research Integrity and Compliance is the office holding primary responsibility for export control record-keeping, each office cited below having a role in the administration of export control regulations/policies must maintain a record of its actions regarding individual transactions.

Function	ORIC	OSP	OTTL	Department Administration	Faculty	Procurement	Accounts Payable
University "Empowered Official" for EAR, ITAR, and OFAC compliance.	X						
Screen foreign visitors identified by the International Office and Provost's Office.	X						
Screen physical shipments.	X						
Screen I-129 applications.	X						
Screen on-site equipment/technology regarding deemed exports (primarily ITAR).	X						
Screen foreign consultants, subawardees, collaborators, and vendors in sponsored projects.		X				X	
Screen all transactions involving T-5 countries.	X						
Apply for EAR, ITAR, and OFAC licenses.	X						

Advise faculty on foreign travel and provide general guidance.	X	X		X			
Write and approve Technology Control Plans.	X						
Submit requests for physical shipment screens.				X	X		
Submit requests for foreign visiting groups and individual visitors.				X	X		
Maintain database of transactions.	X	X					
Maintain website.	X						
Educate faculty on export control compliance.	X						
	Collaborate when sponsored projects are involved.						
Maintain Policy	X						
Maintain Openness in research- avoiding deemed exports in sponsored agreements, RFP's, MTA's, Patents, Software Licensing, etc.		X	X		X		
Purchase Orders, Service Agreements, Payments via OFAC						X	
Ensure that payments are not made to vendors on SDN Lists.							X