



## Boston College

### Disclosure Statement Regarding External Affiliations Under Sponsored Projects

Under the BC COI Policy, the Principal Investigator and all other BC Investigators who share responsibility for the design conduct, or reporting of certain sponsored projects must report their personal financial interests in any organization(s) that, to the best of the Investigator's knowledge, may have a significant impact on the conduct of this research or might benefit from the anticipated results of the proposed project. Please identify on the last page of this form those that you consider to fall within this definition for this project.

**Name:** \_\_\_\_\_

**Title/Rank:** \_\_\_\_\_

**School/Department:** \_\_\_\_\_

**Title of Sponsored Project:** \_\_\_\_\_

**Name of Funding Sponsor:** \_\_\_\_\_

**Project Period:** \_\_\_\_\_

Please Respond by Inserting an "X" in the appropriate boxes:

#### Conflict of Interest:

1. Are you or any member of your immediate family (spouse or domestic partner and/or dependent children) an officer, director, partner, trustee, Employee, advisory board member, or agent of (a) the external organization funding this Sponsored Project or (b) any external organization from which goods and services will be obtained under this Sponsored Project (including those to which you may be subcontracting a portion of the project work), (c) any external organization whose financial condition could benefit from the results of this Sponsored Project, or (d) any external organization having business dealings in an area related to the work under this Sponsored Project??

Yes (if so, describe in detail the nature and extent of the association on an attached sheet).

No

2. **Publicly-Traded Entities:** Have you or any member of your immediate family derived income within the past year of \$5,000 or more in a publicly-traded entity, or in the past year have you or any member of your immediate family owned equity interests in a public-traded interest, the fair market value of the equity being \$5,000 or more?

Yes (If any of the following pertain, provide a full description on a separate sheet):

- (a) The entity is co-funding this Sponsored Project;
- (b) The income or equity is related the your university responsibilities (e.g. research, teaching, and service);
- (c) The entity may provide goods and services under this Sponsored Project (including those to which you may be subcontracting a portion of the project work);
- (d) The entity's financial condition may benefit from the results of this Sponsored Project; or
- (e) The entity has business dealings in an area related to the work under this Sponsored Project?

No

3. **Non-Publicly Traded (i.e. Privately Held) Entities:** Have you or any member of your immediate family derived income within the past year of \$5,000 or more in a non-publicly traded entity, or in the past year have you or any member of your immediate family owned any equity interests in a non-publicly traded entity?

Yes (If any of the following pertain, provide a full description on a separate sheet):

- (a) The entity is co-funding this project;
- (b) The income or equity is related to your university responsibilities (e.g., research, teaching, and service);
- (c) The entity may provide goods and services under this Sponsored Project (including those to which you may be subcontracting a portion of the project work);
- (d) The entity's financial condition may benefit from the results of this Sponsored Project; or
- (e) The entity has business dealings in an area related to the work under this Sponsored Project?

No

4. **Reimbursed or Sponsored Travel:** In the past twelve months, did you engage in any travel for an entity the costs for which totaled \$5,000 or more and were reimbursed to you or

paid for you by the external entity? You do NOT have to disclose such travel from the following types of entities: by a federal, state, or local government agency, an Institution of higher, an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education.

- Yes (if so, disclose the name of the external entity, the time of the travel, the purpose of the travel, and the amount reimbursed or sponsored.
- No

**Conflict of Commitment:**

1. Are you employed by or do you have any personal business affiliation with an external organization whose interests are related to this project?

- Yes (if so, describe on an attached page the name of the external organization, the time commitment of the employment or personal business affiliation, the nature of the business relationship, and the amount of compensation you derive from such employment or personal business affiliation).
- No

2. Do you have a personal business affiliation the scope of which covers identical or similar work that will be funded by a sponsored agreement to the university?

- Yes (if so, describe on an attached page the name of the external organization, the nature of the affiliation, the amount of time you dedicate or will dedicate to it, and the amount of compensation you derive from such employment or personal business affiliation).
- No

3. Have you involved or will you involve any student to assist you in the work covered by a personal business affiliation with an external organization?

- Yes
- No

4. Will any university resources, including facilities, personnel, equipment, or confidential

information be used in an employment or personal business affiliation with an external organization identified in response to Question 1 above?

Yes

No

5. Does this project involve research with human participants?  Yes  No

Certification: I have read and understand the Addendum to the Boston College Conflict of Interest and Commitment Policy Pertaining to Sponsored Projects. I agree to submit a revised disclosure in the event of any change in circumstances that would necessitate a revision to my response to any of the questions above.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Dean/Department Chair Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Identify those who should be considered "Investigators" (as defined on page one of this form) on this project:**

Name	Title/Position