

July 2003

Curriculum Vitae

Name: John J. Havens

Current Position: Associate Director and
Senior Research Associate
Social Welfare Research Institute
Boston College
McGuinn Hall 514
140 Commonwealth Avenue
Chestnut Hill, Massachusetts 02467-3807

Date of Birth: July 12, 1941

Place of Birth: Meriden, Connecticut

Home Address: 5 Balfour Street
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Education:

Graduate: Sloan School of Economics,
Massachusetts Institute of Technology
Completed Doctoral Course Work
Passed Doctoral Comprehensive
Examinations

Undergraduate: Yale University
B.A. in Economics and Mathematics

Secondary: Cheshire Academy, Connecticut

Research Positions:

1967 – 1973	Institute of Human Sciences Boston College Senior Research Associate
1973 – 1977	Laboratory of Psycho-Social Studies Boston College Associate Director and Senior Research Associate
1978 – 1979	American Institutes for Research, Cambridge Office Director of Data and Systems Analysis Research Scientist
1980 – Current	Social Welfare Research Institute Boston College Senior Research Associate
1980-1993	Director Multi-Regional Policy Impact Simulation Project
1995-1997	Director Boston Area Diary Study
1998-current	Director Wealth Transfer Simulation Project
1987-current	Associate Director of SWRI

Previous Employment:

1959-1961	Department of Mathematics Yale University Teaching Assistant
1960-1963	Olin Matheison Chemical Corporation New Haven Research Center Mathematician and Senior Engineer
1965-1967	Manter Hall School Cambridge, Mass Chair, Department of Mathematics

Teaching Experience:

Boston College, Graduate School of Arts and Sciences (1972-present)

Lecturer on new advances in computer software and data processing -- open to faculty and computer center personnel (1970-1971).

Lecturer in research design and quantitative analysis for the graduate program of Community Psychology. Courses included basic field and experimental design, measurement, population segmentation, and multivariate data analysis (1972-1977).

Lecturer in selected topics in advanced econometrics for the graduate program in Economics. Topics included Bayesian estimation, numerical integration, and multinomial logit choice models (1974, 1976).

Lecturer in mathematical models of human behavior for the graduate program in Sociology and Social Work. Course topics included generic models for understanding, prediction, and evaluation. Mathematical models included Markov process models, input-output models, dynamic growth models, adaptive models, and multi-equation linear and nonlinear models. Emphasis was on model specification and research design (1977).

Guest Lecturer in input-output designs for economic impact analysis for the School of Social Work (1993).

Northeastern University Graduate School of Arts and Sciences (1968-1972)

Lecturer on statistical methods for the Criminology Program in the School for Continuing Education (1968-1970).

Lecturer on methodology, design and quantitative analysis for the graduate program in the department of Sociology (1968-1972).

Major Research Projects:

Black Migration Study (Research Associate). Examined increased migration of Blacks to Boston from 1965 to 1969 sponsored by the Office of Economic Opportunity, U.S Department of Health, Education and Welfare. 1967-1969.

Study of Life in Modern Urban Community Life (Senior Research Associate). Study of the behavioral patterns, social structures, agency, stressors, mental health, and satisfaction associated with living and working in modern urban America – a survey study of 2,000 families living in 12 cities and 24 suburbs in the United States in 1972. Sponsored by the Center for Metropolitan Studies, National Institute of Mental Health. 1970 – 1974.

Community Effectiveness Study (Senior Research Associate). Social indicators study to identify characteristics of cities/towns/metropolitan areas associated with community satisfaction among its residents. Sponsored by the Kettering Foundation. 1974-1976.

New Approaches to Understanding Travel Behavior (Co-Director). Multi-disciplinary study to develop a theory of intra-urban travel behavior based on elements drawn from economics, psychology, sociology, geography, urban planning, engineering, and transportation. Sponsored by the National Cooperative Highway Research Program within the National Academy of Sciences. 1977-1978.

Multi-Regional Policy Impact Simulator (Director). Design, development, testing, and application of complete economic micro-simulation model of U.S. economy. Funded by grant from the Office of the Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services. 1980-1993.

Study of Philanthropic Giving and Volunteering in the United States (Senior Research Associate). A multi-year research project examining the multivariate determinants of giving and volunteering. Sponsored by the Lilly Endowment, Inc., The T. B. Murphy Foundation, and the Center on Philanthropy, Indiana University. September 1991-August 1995.

Evaluation of National Survey Data on Giving and Volunteering (Co-Director). A two-year examination of the procedures, methods, sampling, reporting, and validity of the information on charitable giving and volunteering in the United States. Sponsored by the W. K. Kellogg Foundation. September 1994-August 1997.

Boston Area Diary Study (Director). A 1995 calendar year study of 44 households who were called weekly to report the time, money, and emotional support that they either gave to or received from family, friends and charitable organizations. This information was used to chart the formal and informal social relations around which care becomes mobilized. Sponsored by the W. K. Kellogg Foundation. September 1994-August 1997.

The National Diary Study of Giving and Volunteering (Senior Research Associate). An eighteen-month project to collect and analyze information collected daily from a national sample of individuals on their giving and receiving of time, money, and emotional support. A different panel of 25 individuals was interviewed over the course of 52 weeks. The research was conducted in conjunction with the University of Arizona. Sponsored by the W. K. Kellogg Foundation. March 1995-March 1998.

Philanthropy and the Spiritual Horizon of Wealth (Senior Research Associate). A multi-year research effort to analyze survey and interview data regarding the patterns and spiritual foundations of charitable giving, especially among the affluent and wealthy. Sponsored by the T. B. Murphy Foundation. September 1996-December 1999.

Identification and Association: The Spiritual Foundations of *Caritas* and the Empirical Dynamics of Charity (Senior Research Associate). The purpose of this research was to (1) elaborate new thinking about the theoretical meaning of care as identification, (2) conduct sophisticated empirical analyses to test and develop this theoretical perspective, and (3) set out the practical implications for several aspects of charitable giving and community life. Sponsored by the Lilly Endowment, Inc. December 1996-December 31, 2000.

1998 Study on Wealth with Responsibility (Senior Research Associate). This study was supervised by researchers at the Boston College Social Welfare Research Institute and at the University of Massachusetts at Boston Center for Survey Research. The purpose of the research was to develop a base of knowledge about the attitudes and practices of wealth holders, particularly as they relate to contributions to the well-being of others. Areas addressed in the survey included charitable giving and volunteering, attitudes about social issues, socially responsible investing, trust and estate planning, and the transfer of values to heirs. Thirty-minute mailed questionnaires were sent to 400 wealth holders with a net worth of \$5 million or more. Sponsored by Bankers Trust Private Banking. January 1998-June 2000.

The Elementary Forms of the Spiritual Life: New Models and Measures of the Daily Dynamics of Spirituality (Senior Research Associate). Through literature reviews, focus groups, and intensive interviews, SWRI developed a set of survey and interview questions that will enable SWRI researchers and others to explore the unconventional, yet generative, definitions and dynamics of spirituality by which people monitor, revise, and guide their lives. January 1998-December 1999.

The Spirituality of Wealth and Philanthropy (Co-Director). This project is an offshoot from The Elementary Forms of the Spiritual Life. Through focus groups and personal interviews, this project seeks to discover and communicate knowledge about the spirituality or guiding principles that inspire and console, or challenge and vex, wealth holders as they chart the use of their wealth for themselves, their families, and the well-being of others. Begun as an exploratory study in 1998, it is now incorporated into most recently funded projects.

Wealth Transfer Microsimulation Model (Director). This project studies wealth transfer and the implications for charitable giving using estimates produced by a first-of-its-kind Wealth Transfer Microsimulation Model developed at SWRI. Continuing work is focused on refining the estimates and on elaborating strategies by which fundraisers and financial advisors can guide wealth holders to shift even greater portions of their net worth to charity in the form of both *inter vivos* giving and bequests. June 1998 – present.

The Material and Spiritual Dynamics of Wealth: Dilemmas and Decisions Surrounding the Accumulation and Distribution of Financial Resources (Director). This research continues our study of wealth and philanthropy with a particular focus on exploring the material and spiritual decision-making dynamics of wealth holders that surround their accumulation and distribution of financial resources; on working more with wealth holders in order to explore and communicate a spirituality of wealth transfer that relates specifically to those who are financially secure; and on developing strategies for encouraging wise financial decision-making by wealth holders. January 1, 2000 – present.

Millionaires and the Millennium: The Emerging Material and Spiritual Determinants of Charitable Giving by Wealth Holders (Director). This research continues the research agenda undertaken in the project, “Identification and Association: The Spiritual Foundations of *Caritas* and the Empirical Dynamics of Charity.” The principal objectives of the proposed research are to: (1) investigate the emerging trends in wealth and philanthropy; (2) explore the material and spiritual determinants that motivate charitable giving by wealth holders; (3) implement strategies for encouraging charitable giving by wealth holders; and (4) disseminate the findings. January 1, 2001 – December 31, 2003.

The Philanthropic Strategies of High-Tech Donors/2001 Study (Senior Research Associate). The study carried out intensive interviews with 30 high-tech wealth holders in order to learn their attitudes and practices regarding philanthropy. The leading question was whether and in what ways high-tech donors differ from more traditional models in how they think about and conduct their philanthropy. Sponsored by the Association of Fundraising Professionals (AFP) and funded by Dr. Robert B. Pamplin, Jr., President and CEO of the R. B. Pamplin Corporation. December 2000 - April 2001.

Boston College Economic Impact Study (Research Director). Assembly and analysis of economic and financial data from university records, employees, students, and parents of students of Boston College in 1999 in order to assess the economic impact of Boston College on the Newton, Allston, Brookline, Boston, metropolitan Boston, and eastern Massachusetts. June 1998 – September, 2001.

Contracts and Grants:

Multi-Regional Policy Impact Simulation Model Project
Office of Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, November 1, 1980 – September 31, 1989.
\$4,250,000

Multi-Regional Policy Impact Simulation Model – Application to Health Care
Health Care Financing Administration – 1990.
\$10,000

Study of Philanthropic Giving and Volunteering in the United States
Lilly Endowment, Inc., September 1, 1991-September 31, 1992.
\$21,700

Multivariate Analysis of Philanthropic Giving
Indiana University Center on Philanthropy, October 1, 1992-December 31, 1993.
\$23,310

Contradictions of Christmas: Troubles and Traditions in Culture, Home, and Heart
T. B. Murphy Foundation Charitable Trust, September 1, 1992 - August 31, 1994.
\$171,000

Analysis of 1990 U.S. Census Data on Nonprofit Employment
Ford Foundation under subcontract to The New School for Social Research, November 1993-March 1994.
\$1,500

Evaluation of National Data on Giving and Volunteering and The Boston Area Diary Study
(with Paul G. Schervish)
The W. K. Kellogg Foundation, September 1, 1994-August 31, 1996.
\$107,986

National Diary Study on Giving and Volunteering (with Paul G. Schervish)
The W. K. Kellogg Foundation, March 1, 1995-August 31, 1996.
\$37,000

Philanthropy and the Spiritual Horizon of Wealth (with Paul G. Schervish)
T. B. Murphy Foundation Charitable Trust, September 1, 1996-May 31, 2000.
\$400,000

Boston College Faculty Fellowship
September 1, 1997-August 31, 1998.

Identification and Association: The Spiritual Foundations of Caritas and the Empirical Dynamics of Charity
The Lilly Endowment, Inc., December 1, 1996-December 31, 2000.
\$399,393

The Material and Spiritual Dynamics of Wealth: Dilemmas and Decisions Surrounding the Accumulation and Distribution of Financial Resources
The T. B. Murphy Foundation Charitable Trust, January 1, 2000 – present.
\$200,000 funding through 2002

Millionaires and the Millennium: The Emerging Material and Spiritual Determinants of Charitable Giving by Wealth Holders
The Lilly Endowment, Inc., January 1, 2001 – May 31, 2003.
\$510,000

Research Reports:

“A Study of Demographic and Social Determinants of Functional Achievement in a Negro Population.” With Marc Fried. Office of Economic Opportunity, Division of Research and Planning, Contract No. B89-4279, September 1970.

"So What if the Evidence Doesn't Show Any Effect: A Note on the Importance of Model Structure in Assessing Factors Influencing Travel Behavior." Submitted in partial fulfillment of National Cooperative Highway Research Program Project 8-14, "Travel Behavior--A Synthesized Theory," 1975.

“The Theory of Decision: Dilemmas and Directions.” Submitted in partial fulfillment of National Cooperative Highway Research Program Project 8-14, "Travel Behavior – A Synthesized Theory," 1975.

"Toward a Mathematical Framework in Modeling Travel Behavior." Submitted in partial fulfillment of National Cooperative Highway Research Program Project 8-14, "Travel Behavior - A Synthesized Theory," 1975.

“Travel Behavior - A Synthesized Theory.” With Marc Fried and Matthew Thall. National Cooperative Highway Research Program of the National Academy of Sciences, 1977.

Literature Review for the Partial Response Version of the Multi-Regional Policy Impact Simulation Model. With the staff of the Social Welfare Research Institute of Boston College, The Multi-Regional Planning Staff of the Massachusetts Institute of Technology, and Sistemias, Inc., Washington, D.C., 1981.

Research Strategy for the Multi-Regional Policy Impact Simulation Model. With the staff of the Social Welfare Research Institute of Boston College, the Multi-Regional Planning Staff of the Massachusetts Institute of Technology, and Sistemas, Inc., Washington, D.C., 1981.

Documentation for the Multi-Regional Policy Impact Simulation Model- Level 1. With the staff of the Social Welfare Research Institute of Boston College, the Multi-Regional Planning Staff of the Massachusetts Institute of Technology, and Sistemas, Inc., Washington, D.C., 1982.

Documentation for the Multi-Regional Policy Impact Simulation Model- Level 2. With the staff of the Social Welfare Research Institute of Boston College. 1984.

Documentation for the Multi-Regional Policy Impact Simulation Model- Level 3. With the staff of the Social Welfare Research Institute of Boston College, 1986.

Our Daily Bread: Findings from the First Diary Study on Giving and Receiving Care. With Paul G. Schervish. Research report on the findings from interviews three to four times a month for a year with Boston area residents concerning their patterns of giving and receiving financial and in-kind assistance, volunteer time, and emotional support. Social Welfare Research Institute of Boston College, November 1996.

Comparisons Between Gallup / IS and Boston Area Diary Study Data: Report of Findings. With Paul G. Schervish. Social Welfare Research Institute of Boston College. An analysis of the validity of the Independent Sector / Gallup Organization Survey of Giving and Volunteering. Using a repeat-measure design, the report indicates substantial measurement error due to the Gallup survey methodology. March 31, 1997.

Millionaires and the Millennium: Prospects for a Golden Age of Philanthropy. With Paul G. Schervish. Social Welfare Research Institute of Boston College. A research report describing the methods, findings, and implications of our Wealth Transfer Microsimulation Model estimates of the level of wealth transfer and bequests for 20 years (1998 - 2017) and 55 years (1998 - 2052).

Wealth with Responsibility / 2000. With Paul G. Schervish. Social Welfare Research Institute of Boston College. Published by Bankers Trust Private Banking. New York, August 2000.

Extended Report on the Banker Trust Study on Wealth with Responsibility / 2000. With Paul G. Schervish. March 2001.

Agent-Animated Wealth and Philanthropy: The Dynamics of Accumulation and Allocation Among High-Tech Donors. With Paul G. Schervish and Mary A. O'Herlihy. Social Welfare Research Institute of Boston College and the Association of Fundraising Professionals, Washington, D.C. April 2001.

Why the \$41 Trillion Wealth Transfer Estimate is Still Valid: A Review of Challenges and Questions. John J. Havens, and Paul G. Schervish. Social Welfare Research Institute. Boston College. January 6, 2003.

2003 Survey of Planned Giving Vehicles. John J. Havens, Paul G. Schervish, and Mary A. O'Herlihy. Social Welfare Research Institute. Boston College. June 2003.

Published Articles:

"Patterns of Migration and Adjustment Among the Black Population of Boston." With Marc Fried, Peggy Gleicher, and Lorna Ferguson. In *Migration and Social Welfare*, Joseph W. Eaton, editor. New York: National Association of Social Workers, Inc., 1971.

"Understanding Travel Behavior: Role, Life Style, and Adaptation." In model development section of *Urban Transportation Planning*. Lexington Books, 1980.

"How to Cut the Deficit and Rebuild America." With Barry Bluestone. *Challenge* (May/June 1986).

"The Microeconomic Impacts of Macroeconomics Policy, 1981-1985." With Barry Bluestone. *Journal of Post Keynesian Economics* 8, no. 4 (1986).

"Generational Alliance: Social Security as a Bank for Education and Training." With Barry Bluestone, Alan Clayton-Matthews and Young. *The American Prospect* 1, no. 2 (June 23, 1990).

"Do the Poor Pay More: Is the U-shaped Curve Correct?" With Paul G. Schervish. *Nonprofit and Voluntary Sector Quarterly* 24, no. 1 (Spring 1995): 79-90.

"Explaining the U in the U-Shaped Curve." With Paul G. Schervish. *Voluntas: International Journal of Voluntary and Nonprofit Organizations* 6, no. 2 (August 1995): 202-225.

"Wherewithal and Beneficence: Charitable Giving by Income and Wealth." With Paul G. Schervish. *New Directions for Philanthropic Fundraising: Cultures of Giving II: How Heritage, Gender, Wealth, and Values Influence Philanthropy* 8 (Summer 1995): 81-109.

"Rich vs. Poor: Charitable Giving by Income and Wealth." With Paul G. Schervish. *The NonProfit Times*, November 1997, 56-57. [Based on "Wherewithal and Beneficence: Charitable Giving by Income and Wealth." With Paul G. Schervish. In *New Directions for Philanthropic Fundraising: Cultures of Giving II: How Heritage, Gender, Wealth, and Values Influence Philanthropy* 8 (Summer 1995): 81-109.]

"Consumer Finances as Basis for Estimating Discretionary Income." Indiana University Center on Philanthropy Discretionary Income Study. January, 1996.

"Social Participation and Charitable Giving: A Multivariate Analysis." With Paul G. Schervish. *Voluntas: International Journal of Voluntary and Nonprofit Organizations* 8, no. 3 (September 1997): 235-260.

"Embarking on a Republic of Benevolence?: New Survey Findings on Charitable Giving." With Paul G. Schervish. *Nonprofit and Voluntary Sector Quarterly* 27, no. 2 (June 1998): 237-242.

"Money and Magnanimity: New Findings on the Distribution of Income, Wealth, and Philanthropy." With Paul G. Schervish. *Nonprofit Management & Leadership* 8, no. 4 (Summer 1998): 421-434.

“Why Do People Give.” With Paul G. Schervish. *The Not-For-Profit CEO Monthly Newsletter* 5, no. 7 (May 1998): 1-3. [Based on “Social Participation and Charitable Giving: A Multivariate Analysis.” With Paul G. Schervish. *Voluntas: International Journal of Voluntary and Nonprofit Organizations* 8, no. 3 (September 1998): 235-260.]

“Reply to Hodgkinson and Weitzman.” With Paul G. Schervish. *Nonprofit and Voluntary Sector Quarterly* 27, no. 4 (December 1998): 529-531.

“Temporal Patterns in Social Responsibility.” With David M. Almeida, Daniel A. McDonald, and Paul G. Schervish. Pp. 135-156 in *Caring and Doing For Others: Social Responsibility in the Domains of Family, Work, and Community*, edited by A. Rossi. Chicago: The University of Chicago Press, 2001.

“Wealth and the Commonwealth: New Findings on the Trends in Wealth and Philanthropy.” With Paul G. Schervish. *Nonprofit and Voluntary Sector Quarterly* 30, no.1 (March 2001): 5-25.

“The Methods and Metrics of the Boston Area Diary Study.” With Paul G. Schervish. *Nonprofit and Voluntary Sector Quarterly* 30, no. 3 (September 2001): 527-550.

“The New Physics of Philanthropy: The Supply-Side Vectors of Charitable Giving—Part 1: The Material Side of the Supply Side.” With Paul G. Schervish. *The CASE International Journal of Higher Education Advancement*. Vol. 2. No 2. (November 2001): 95-111.

“The New Physics of Philanthropy: The Supply-Side Vectors of Charitable Giving—Part 2: The Spiritual Side of the Supply Side.” With Paul G. Schervish. *The CASE International Journal of Higher Education Advancement*. Vol. 2. No 3. (2002): 221-241.

“The Boston Area Diary Study and the Moral Citizenship of Care.” With Paul G. Schervish. *Voluntas: International Journal of Voluntary and Non-Profit Organizations* 13, no.1 (March 2002): 47-71.

“The Mind of the Millionaire: Findings from a National Survey on Wealth with Responsibility.” With Paul G. Schervish. *New Directions for Philanthropic Fundraising: Taking Fundraising Seriously*. Eugene R. Tempel (ed.) No. 32. (Summer 2001): 75-107. [Published March 2002.]

“The Spiritual Secret of Wealth: The Inner Dynamics by which Fortune Engenders Care.” With Paul G. Schervish and Mary A. O’Herlihy. In *Taking Fundraising Seriously: The Spirit of Faith and Philanthropy*, edited by Dwight F. Burlingame. Vol. 35, pp.23-40. (Spring 2002).

“How Do People Leave Bequests: Family or Philanthropic Organizations?” With Paul G. Schervish. In *Death and Dollars*, Alicia H. Munnell and Annika Sunden, editors. The Brookings Institution Press. Washington, D.C. Forthcoming 2003.

“Why the \$41 Trillion Wealth Transfer is Still Valid: A Review of Challenges and Questions.” With Paul G. Schervish. *Journal of Gift Planning*. January 2003.

“Planned Giving Still In Early Stages.” With Paul G. Schervish and Mary A. O’Herlihy. *The NonProfit Times*. July 1, 2003.

Papers Presented at Academic and Professional Conferences:

"Goals, Strategies, and Problems in Modeling Urban Travel Behavior." Presented to the Regional Meetings of the Operations Research Society, Las Vegas, November 1975.

"Do the Poor Pay More?: Is the U-shaped Curve Correct?" With Paul G. Schervish. Presented at the annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, New Haven, Connecticut, October 1992.

"The Greening of the Yuppies: The Factors Behind the Findings of Increased Giving and Volunteering." With Paul G. Schervish. Prepared for the International Society for Third-Sector Research (ISTR), Pécs, Hungary, July 4-7, 1994.

"Social Participation and Charitable Giving: A Multivariate Analysis." With Paul G. Schervish. Presented at the annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Berkeley, October 1994.

"Money and Magnanimity: New Findings on the Distribution of Income, Wealth, and Philanthropy." With Paul G. Schervish. Presented at the annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Cleveland, November 1-2, 1995.

"Our Daily Bread: Findings from the First Diary Study on Giving and Receiving Care." With Paul G. Schervish. Presented at the annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, New York, November 7-9, 1996.

"A River Rises in Eden: The Bountiful Wellsprings of Giving and Volunteering." With Paul G. Schervish. Paper presented at the 1997 Independent Sector Spring Research Forum, Alexandria, Virginia, March 20-21, 1997.

"Wealth and the Commonwealth: New Findings on the Trends in Wealth and Philanthropy." With Paul G. Schervish. Presented at the annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Indianapolis, December 4-6, 1997.

"As Gold in the Furnace: The Fiery Brook of Methodology for Knowledge." With Paul G. Schervish. Presented at the plenary panel, "What Do We Really Know about Volunteering and Charitable Giving: Dilemmas in the Design and Management of Large Scale Surveys," at the 1997 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Indianapolis, December 4-6, 1997.

"By Their Fruits, Shall We Know Them?: Comparing Philosophy of Giving to Actual Behavior." With Laura M. Leming. Presented at the 1998 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Seattle, November 5-7, 1998.

"The High Giving Poor: Who are the Low Income People Who Make High Contributions?" With Anthony J. Savoie. Presented at the 1998 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Seattle, November 5-7, 1998.

“Social Participation and Charitable Giving Revisited: Replication of a Multivariate Analysis.” With Paul G. Schervish and Platon E. Coutsoukis. Presented at the 1998 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Seattle, November 5-7, 1998.

“The State of Estates: Current Trends and New Thinking on the Meaning, Measurement, and Allocation of Financial Resources in the Light of Death and Taxes.” With Paul G. Schervish, Thomas B. Murphy, and Scott C. Fithian. Presented at the 1998 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Seattle, November 5-7, 1998.

“Wealth with Responsibility: Findings from a National Survey of Wealth Holders.” With Paul G. Schervish, Wendy Handler, and Mariko Tada. Presentation on plenary panel to the 1999 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Alexandria, Virginia, November 4-6, 1999.

“Estimating Intergenerational Transfers of Wealth: A Microsimulation Model.” With Paul G. Schervish. Paper presented at the Conference on Savings, Intergenerational Transfers, and the Distribution of Wealth. The Jerome Levy Economics Institute of Bard College. Blithewood, New York, June 7-9, 2000.

“Who Gives to What?” With Paul G. Schervish and Mary A. O’Herlihy. Presentation to the 2000 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action. New Orleans, November 14-16, 2000.

“The Methods and Metrics of the Boston Area Diary Study.” With Paul G. Schervish. Presented to the Invitational Conference on Research on Giving and Volunteering. Indiana University Center on Philanthropy, Indianapolis, November 29, 2000.

“The Identification Theory and the Allocation of Transfers Between Family and Philanthropy Organizations.” With Paul G. Schervish. Paper presented at the conference on The Role and Impact of Gifts and Estates. Center for Retirement Research at Boston College. Woodstock, Vermont, October 22, 2001.

“Millionaires and the Millennium: Wealth Transfer Projections.” Conference for Fundraisers. Jointly sponsored by Salomon Smith Barney and the Center on Philanthropy. Indianapolis, Indiana. April 17, 2001.

“The Spiritual Secret of Wealth: The Inner Dynamics by which Fortune Engenders Care.” With Mary A. O’Herlihy and Paul G. Schervish. Paper presented to the Indiana University Center on Philanthropy’s Fourteenth Annual Symposium, Faith and Philanthropy. Indianapolis, October 25, 2001.

“The Philanthropic Strategies of High-Tech Donors: Findings from Personal Interviews.” With Mary A. O’Herlihy and Paul G. Schervish. Presentation to the 2001 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action. Miami, November 29 - December 1, 2001.

Keynote Address. “Patterns and Projections of Charitable Giving: Is a Golden Age of Philanthropy Still in the Offing?” New England Development Research Association. 2002 Annual Conference. Burlington, MA. May 14, 2002.

“Discernment and Discerned Philanthropy: The Translation of Charitable Resources and Charitable Aspiration into a Biographical Event of Philanthropy.” Presentation to the 2002 annual meeting of the Association for Research on Nonprofit Organizations and Voluntary Action, Montreal, November 15, 2002.

“Intergenerational Perspectives on Philanthropy.” New England Funders Conference 2002: "Meaningful Philanthropy, Challenging Times." Sheraton Hotel, Framingham, MA. December 3 and 4, 2002.

Professional Service:

Book and Journal Referee

Educational Publishing Service
Nonprofit and Voluntary Sector Quarterly
Voluntas: International Journal of Voluntary and Non-Profit Organizations

Grant Selection and Oversight

Biomedical Research Grant Support for Independent Study of Quantitative Biomedical Methods, NIMH, 1976.

Member of NCHRP 8-14 Committee on Urban Transportation, National Academy of Sciences (1978-1981)

Grant Referee and Liason for National Cooperative Research Program, National Academy of Sciences (1978-1979)

Consulting Member of ALC04 Committee on Strategic Energy Requirements and Resouces, National Academy of Sciences (1977-1978)

Professional Boards, Committees, and Panels

Consultant to Advisory Panel for Biennial Survey on Giving and Volunteering, Independent Sector, Washington, D.C., 1989-1999.

Advisory Council on Methodology, AAFRC Trust for Philanthropy, New York, 1998-present.

National Academy of Sciences, National Research Council (1974-1984):

- Member of Committee on Travel Behavior and Values (1974-1984)
- Member of Subcommittee on Constraints on Travel Behavior (1978-1982)
- Member of Subcommittee on Research Priorities for Travel Behavior (1978-1979)
- Member of Subcommittee on Contingency Planning for Energy Constraint (1978-1979)

U.S. Department of Transportation (1978-1980):

Steering Committee on Women's Travel Behavior: Research Needs and Priorities (1978-1979). Operating from the Office of University Research and Special Programs, the steering committee is responsible for national conferences and for developing recommendations for a national research program.

Consultant to Office of the Secretary on Travel Behavior, Policy Alternatives, and Energy Constraints (1978-1979).

Academic Research Awards:

Honorable Mention: Outstanding article appearing in the *Nonprofit and Voluntary Sector Quarterly* in 1995. By the Association for Research on Nonprofit Organizations and Voluntary Action. For Paul G. Schervish and John J. Havens, "Do the Poor Pay More: Is the U-Shaped Curve Correct?" *Nonprofit and Voluntary Sector Quarterly* 24, no. 1. (Spring 1995): 79-90.

1998 Virginia A. Hodgkinson Prize awarded by the Research Committee of the Independent Sector "for best research book, paper or essay published in 1996 or 1997 that provides new understanding of issues regarding philanthropy, voluntary action, nonprofit organization and management, fundraising, or civil society." Prize awarded for Paul G. Schervish and John J. Havens, "Social Participation and Charitable Giving: A Multivariate Analysis." *Voluntas: International Journal of Voluntary and Nonprofit Organizations* 8, no. 3 (September 1997): 235-260.

1999 John Grenzebach Research Award for Outstanding Published Scholarship in the area of educational fundraising. Prize awarded by the American Association of Fund Raising Counsel (AAFRC) Trust for Philanthropy, the Council for Advancement and Support of Education (CASE), and Grenzebach, Glier and Associates, Inc., for Paul G. Schervish and John J. Havens, "Social Participation and Charitable Giving: A Multivariate Analysis." *Voluntas: International Journal of Voluntary and Nonprofit Organizations* 8, no. 3 (September 1997): 235-260.

Professional Recognition:

Selected to *The NonProfit Times Power and Influence Top 50, Class of 2001*: "There are leaders who blaze trails while others, just as importantly, provide that stability and fertile ground from which the sector will continue to grow and flourish." Citation: "He, along with Paul Schervish, have broken down certain firmly and widely held misconceptions about giving." August 2001.

Selected to *The NonProfit Times Power and Influence Top 50, Class of 2002*: "annual compilation of movers, shakers and opinion makers." Citation: "[John Havens is] half of the duo providing thorough research and some of the best donor behavior research studies in the sector. He knows both how and why someone will give." August 2002.