OSP FEUD

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Carry-forward Question

For awards that do not have automatic carry-forward, what information needs to be included in the carry-forward request?
Carry-forward Request Answers

- PI’s name
- Award Number
- Title
- Budget period
- Amount of funds remaining
- Description of how funds will be used
- Justification of why funds were not used.
What is required by the sponsor when adding a new subcontract to an existing project?
Answers to adding sub to existing project

- Biosketch for new PI
- Budget for new sub-award
- Budget justification for new sub-award
- Proposed revised budget for the project
- Justification for the addition of a sub-award
- Facilities and Resources page
Pre-Award Costs

When are “Pre-Award costs” permitted?
Answer for Pre–Award Costs

- Pre Award Costs are permitted when funding is needed prior to the issuance of the non–competing continuation award and all of the reporting requirements have been met.
What is an advance account?
An advance account is an account that can be set up when the PI wants to start working on a new project but the contract/agreement is in the negotiation stage.
Sponsor Approval

What are some examples of when sponsor approval is needed?
Answers to Sponsor Approval

- No cost extensions (after initial NCE for federal sponsors)
- Re-budgeting that exceeds 25% of the total approved budget
- Changes in Scope of Work
- Absence or change in PI
- Cumulative transfers (within direct costs categories) exceed 10% of the sponsor’s stated terms and conditions (for certain sponsors)
Changes in Scope of Work

What constitutes a change in the scope of work?
Answers for Changes in Scope of Work

- Change from approved involvement of human subjects that would result in an increased risk.
- Change from the approved use of live vertebrate of animals.
- Shift of the research emphasis from one disease to another.
- Transfer of the performance of substantive programmatic work to a third party through a consortium agreement or any other means.
Purchase of a unit of equipment exceeding $25,000.

Significant rebudgeting, whether or not the particular expenditure(s) require prior approval.

Application of a new technology; e.g. changing assays from those approved to a different type of assay.

Change in PI.
How many days are required when submitting a carry-forward request?
Answers Carry-forward

Depends on the sponsor:

- Some sponsor require 30 days advance notice before the end of the award.
- For federal awards, carry-forward amounts need to be listed on the FFR.
Change in PI

What would constitute a change in PI?
Answers for Change in PI

- If a PI withdraws from the project entirely
- Is absent from the project during any continuous period of three (3) months or more
- Reduces time devoted to the project by 25% or more from the level that was approved at the time of award
- If original PI leaves institution and does not transfer the award with them.
THE END